

# Energy Automata, Energy Functions, Kleene Algebra

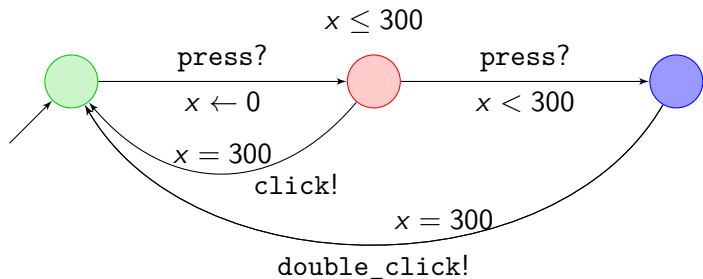
Uli Fahrenberg

École polytechnique, Palaiseau, France

January 2019



## Recall Timed Automata



# Recall Timed Automata

## Definition

The set  $\Phi(C)$  of **clock constraints**  $\phi$  over a finite set  $C$  is defined by the grammar

$$\phi ::= x \bowtie k \mid \phi_1 \wedge \phi_2 \quad (x, y \in C, k \in \mathbb{Z}, \bowtie \in \{\leq, <, \geq, >\}).$$

## Definition

A **timed automaton** is a tuple  $(L, \ell_0, C, \Sigma, I, E)$  consisting of a finite set  $L$  of locations, an initial location  $\ell_0 \in L$ , a finite set  $C$  of clocks, a finite set  $\Sigma$  of actions, a location invariants mapping  $I : L \rightarrow \Phi(C)$ , and a set  $E \subseteq L \times \Phi(C) \times \Sigma \times 2^C \times L$  of edges.

# Recall Timed Automata

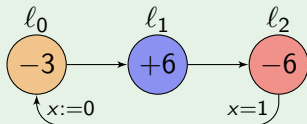
- Useful for modeling **synchronous** real-time systems
- Reachability, emptiness, LTL model checking PSPACE-complete
- Universality undecidable
- Fast on-the-fly algorithms, using zones, for reachability, liveness, and Timed CTL model checking
- **UppAal**
- Extensions to **weighted** timed automata, real-time **games**, etc.
- This work: **Energy problems in timed automata**

# Energy Constraints

Energy is not only consumed, but can be regained.

- ~> “prices” can be negative;
- ~> the aim is to **continuously** satisfy cost constraints
- ~> in this paper, we focus on **infinite runs**.

## Example

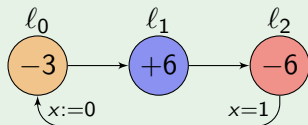


# Energy Constraints

Energy is not only consumed, but can be regained.

- ~> “prices” can be negative;
- ~> the aim is to **continuously** satisfy cost constraints
- ~> in this paper, we focus on **infinite runs**.

## Example



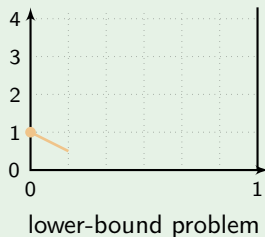
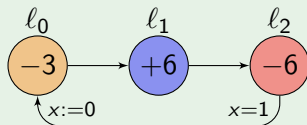
lower-bound problem

# Energy Constraints

Energy is not only consumed, but can be regained.

- ~> “prices” can be negative;
- ~> the aim is to **continuously** satisfy cost constraints
- ~> in this paper, we focus on **infinite runs**.

## Example

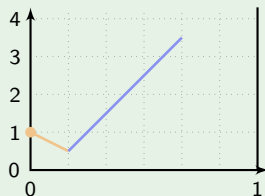
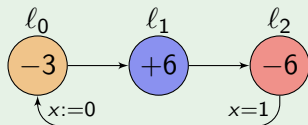


# Energy Constraints

Energy is not only consumed, but can be regained.

- ~> “prices” can be negative;
- ~> the aim is to **continuously** satisfy cost constraints
- ~> in this paper, we focus on **infinite runs**.

## Example



lower-bound problem

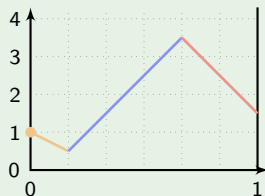
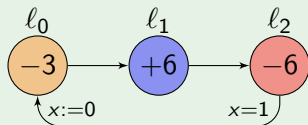


# Energy Constraints

Energy is not only consumed, but can be regained.

- ~> “prices” can be negative;
- ~> the aim is to **continuously** satisfy cost constraints
- ~> in this paper, we focus on **infinite runs**.

## Example



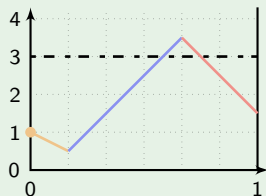
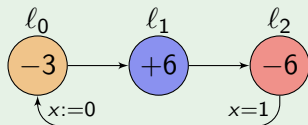
lower-bound problem

# Energy Constraints

Energy is not only consumed, but can be regained.

- ~> “prices” can be negative;
- ~> the aim is to **continuously** satisfy cost constraints
- ~> in this paper, we focus on **infinite runs**.

## Example

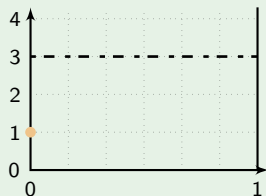
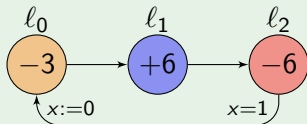


# Energy Constraints

Energy is not only consumed, but can be regained.

- ~> “prices” can be negative;
- ~> the aim is to **continuously** satisfy cost constraints
- ~> in this paper, we focus on **infinite runs**.

## Example



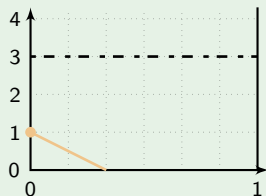
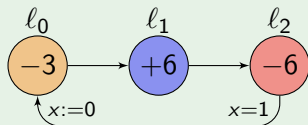
lower-upper-bound problem

# Energy Constraints

Energy is not only consumed, but can be regained.

- ~> “prices” can be negative;
- ~> the aim is to **continuously** satisfy cost constraints
- ~> in this paper, we focus on **infinite runs**.

## Example



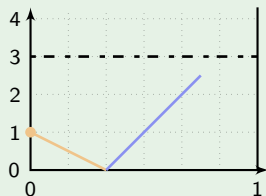
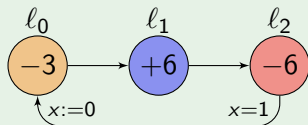
lower-upper-bound problem

# Energy Constraints

Energy is not only consumed, but can be regained.

- ~> “prices” can be negative;
- ~> the aim is to **continuously** satisfy cost constraints
- ~> in this paper, we focus on **infinite runs**.

## Example



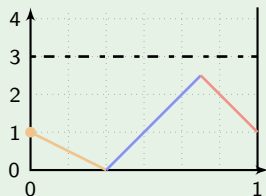
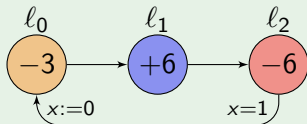
lower-upper-bound problem

# Energy Constraints

Energy is not only consumed, but can be regained.

- ~> “prices” can be negative;
- ~> the aim is to **continuously** satisfy cost constraints
- ~> in this paper, we focus on **infinite runs**.

## Example



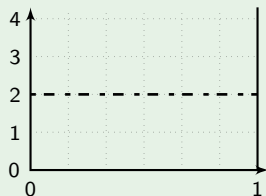
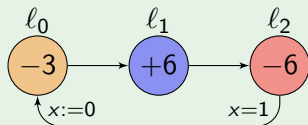
lower-upper-bound problem

# Energy Constraints

Energy is not only consumed, but can be regained.

- ~> “prices” can be negative;
- ~> the aim is to **continuously** satisfy cost constraints
- ~> in this paper, we focus on **infinite runs**.

## Example



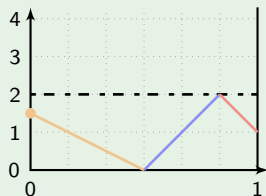
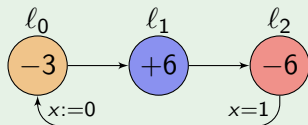
lower-upper-bound problem

# Energy Constraints

Energy is not only consumed, but can be regained.

- ~> “prices” can be negative;
- ~> the aim is to **continuously** satisfy cost constraints
- ~> in this paper, we focus on **infinite runs**.

## Example



lower-upper-bound problem

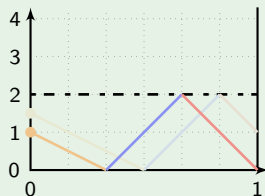
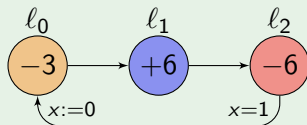


# Energy Constraints

Energy is not only consumed, but can be regained.

- ~> “prices” can be negative;
- ~> the aim is to **continuously** satisfy cost constraints
- ~> in this paper, we focus on **infinite runs**.

## Example



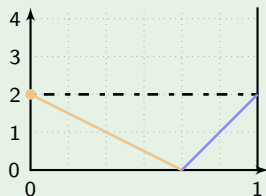
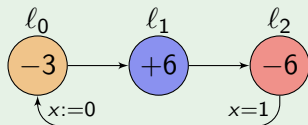
lower-upper-bound problem

# Energy Constraints

Energy is not only consumed, but can be regained.

- ~> “prices” can be negative;
- ~> the aim is to **continuously** satisfy cost constraints
- ~> in this paper, we focus on **infinite runs**.

## Example



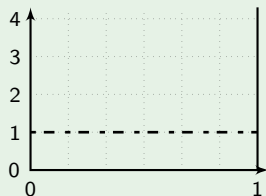
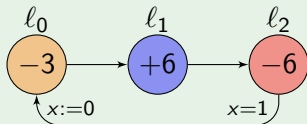
lower-upper-bound problem

# Energy Constraints

Energy is not only consumed, but can be regained.

- ~> “prices” can be negative;
- ~> the aim is to **continuously** satisfy cost constraints
- ~> in this paper, we focus on **infinite runs**.

## Example



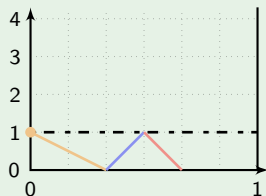
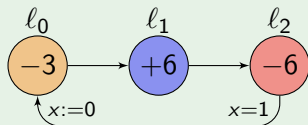
lower-upper-bound problem

# Energy Constraints

Energy is not only consumed, but can be regained.

- ~> “prices” can be negative;
- ~> the aim is to **continuously** satisfy cost constraints
- ~> in this paper, we focus on **infinite runs**.

## Example



lower-upper-bound problem

# Problems

## Definition:

- $\gamma = s_0 \xrightarrow{p_1} s_1 \xrightarrow{p_2} \dots \xrightarrow{p_n} s_n$  a finite path in a weighted transition system
- $c \in \mathbb{R}_{\geq 0}$  initial credit
- $b \in \mathbb{R}_{\geq 0}$  (possible) upper bound
- **accumulated cost** of  $\gamma$  with **initial credit**  $c$ :  $c + p_1 + p_2 + \dots$

## Problems:

- **lower bound**: Find infinite run  $\gamma$  for which  $c + p_1 + \dots + p_n \geq 0$  for all finite prefixes
- **interval bound**: Find infinite  $\gamma$  for which  $c + p_1 + \dots + p_n \in [0, b]$  for all finite prefixes

# Results

- First paper: **FORMATS 2008** (170 citations for now)
- Lots of work since then, by lots of people
- Last paper for now: **FM 2018** (Best Paper Award)
- My **acknowledgements**: Kim G. Larsen, Patricia Bouyer, Nicolas Markey<sup>1</sup>, Jiří Srba, Zoltán Ésik<sup>†</sup>, Karin Quaas, David Cachera, Axel Legay, Pierre-Alain Reynier, Claus Thrane
- **lower-bound problem** decidable for **1-clock** WTA  
undecidable for **4-clock** WTA
- **interval problem** undecidable for **2-clock** WTA
- Applications in **scheduling**
  - ▶ of **batch plants**
  - ▶ of **satellites**

---

<sup>1</sup>also for some of the slides. . .

# GOMSPACE: Scheduling of Nanosatellites Using UppAal



- 1 Motivation
- 2 The Lower-Bound Problem for 1-Clock WTA
- 3 The Interval Problem for 1-Clock WTA (Work in Progress)
- 4 Conclusion



- 1 Motivation
- 2 The Lower-Bound Problem for 1-Clock WTA
- 3 The Interval Problem for 1-Clock WTA (Work in Progress)
- 4 Conclusion

# The Lower-Bound Problem for 1-Clock WTA

## Theorem

For 1-clock WTA *without weights on transitions*, the lower-bound problem is solvable in polynomial time.

## Proof Idea

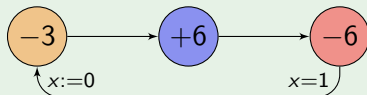
- can assume that delays **within a region** are elapsed in the most profitable location
- hence can use **corner-point abstraction**

# Corner-point abstraction

## Idea

Delays within a region are elapsed in the most profitable location.

## Example

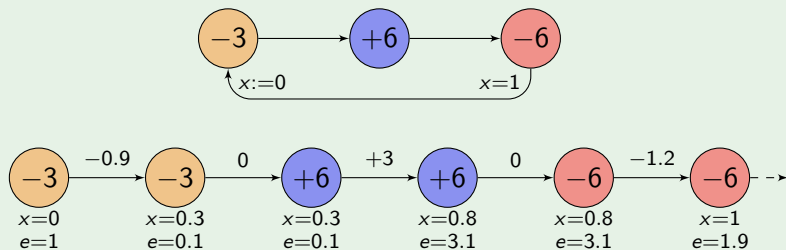


# Corner-point abstraction

## Idea

Delays within a region are elapsed in the most profitable location.

## Example

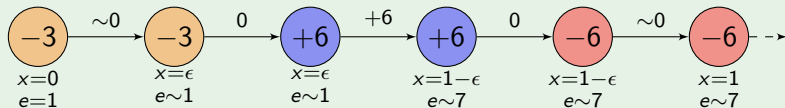
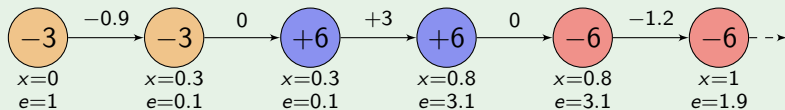
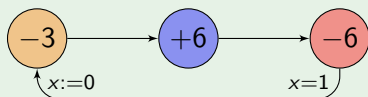


# Corner-point abstraction

## Idea

Delays within a region are elapsed in the most profitable location.

## Example

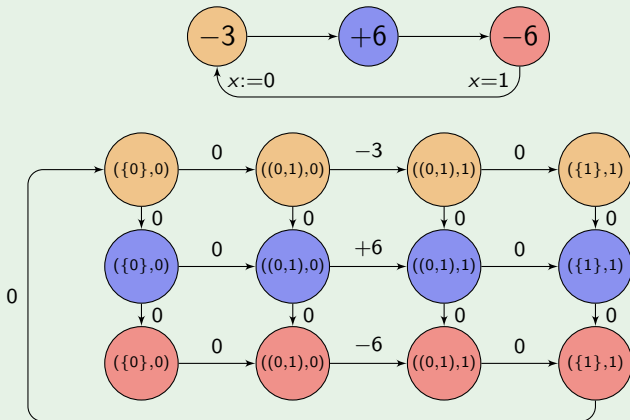


# Corner-point abstraction

## Idea

Delays within a region are elapsed in the most profitable location.

## Example



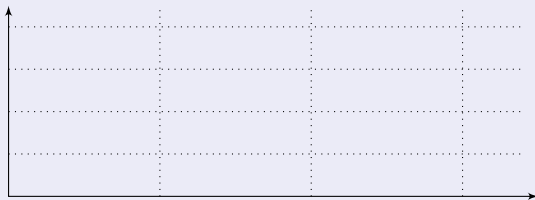
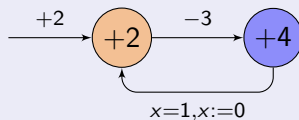
# Corner-point abstraction

## Idea

Delays within a region are elapsed in the most profitable location.

## Remark

The corner-point abstraction is not correct if discrete transitions are weighted:



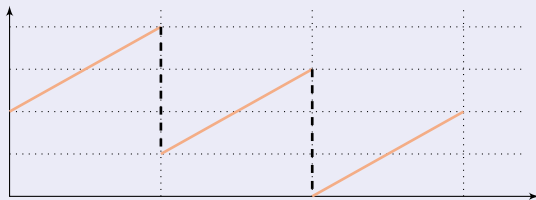
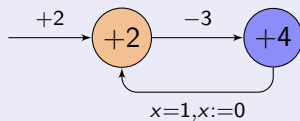
# Corner-point abstraction

## Idea

Delays within a region are elapsed in the most profitable location.

## Remark

The corner-point abstraction is not correct if discrete transitions are weighted:





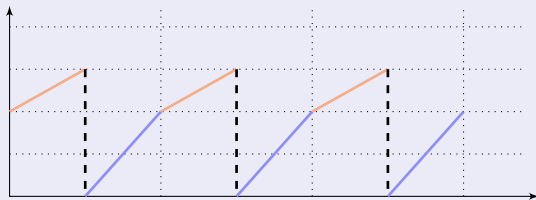
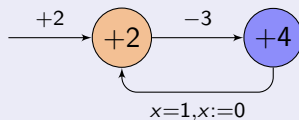
# Corner-point abstraction

## Idea

Delays within a region are elapsed in the most profitable location.

## Remark

The corner-point abstraction is not correct if discrete transitions are weighted:



# The Lower-Bound Problem for 1-Clock WTA

## Theorem (FORMATS 2008)

*For 1-clock WTA **without** weights on transitions, the lower-bound problem is solvable in polynomial time.*

- corner-point abstraction

## Theorem (HSCC 2010)

*For 1-clock WTA **with** weights on transitions, the lower-bound problem is solvable in **double-exponential** time.*

- completely different method, introducing **energy functions**

- 1 Motivation
- 2 The Lower-Bound Problem for 1-Clock WTA
- 3 The Interval Problem for 1-Clock WTA (Work in Progress)
- 4 Conclusion

# The Interval Problem for 1-Clock WTA

(Work in Progress)

## Definition

An **interval timed automaton**  $A = (L, E, I, F, r)$  consists of a finite set  $L$  of **locations**, a finite set  $E \subseteq L \times \mathbb{Q}^3 \times L$  of **transitions**, subsets  $I, F \subseteq L$  of **initial** and **accepting** locations, and **weight rates**  $r : L \rightarrow \mathbb{Q}$ .

- transitions  $l \xrightarrow{p}_{[a,b]} l'$ :  $[a, b]$  interval bound;  $p$  price
- spend some time in location  $l$ ; take transition if  $x \in [a, b]$ ; add  $p$  to  $x$
- runs have initial energy and initial **time budget**
- can only spend time budget: no resets
  - ▶ almost a 1-clock WTA, but not quite

# Interval Time Relations

- A basic interval timed automaton

$$I \xrightarrow{p}_{[a,b]} I'$$

defines a **relation**

$$R = \{(x, t, x') \mid a \leq x + r(I) t \leq b, x' = x + r(I) t + p\}$$

- These can be **composed**:

$$I \xrightarrow{p}_{[a,b]} I' \xrightarrow{q}_{[c,d]} I''$$

corresponds to

$$R_1 \triangleright R_2 = \{(x_0, t_1+t_2, x_2) \mid \exists x_1 : (x_0, t_1, x_1) \in R_1, (x_1, t_2, x_2) \in R_2\}.$$

## Theorem

With operations  $\cup$  and  $\triangleright$ , relations as above form an **idempotent semiring**.

# The Algebraic Approach to Energy Problems, I

Let  $\mathcal{Q} = \mathbb{Q}^\infty \times \mathbb{Q}_{\geq 0}^\infty \times \mathbb{Q}^\infty$ : the set of **interval timed relations**

- together with operations  $\cup$  (**addition**) and  $\triangleright$  (**multiplication**)
  - ▶  $R_1 \triangleright R_2 = \{(x_0, t_1 + t_2, x_2) \mid \exists x_1 : (x_0, t_1, x_1) \in R_1, (x_1, t_2, x_2) \in R_2\}$

$\mathcal{Q}$  forms an **idempotent semiring**:

- $\cup$  is associative & commutative, with unit  $\emptyset$
- $\triangleright$  is associative, with unit  $\mathbb{1} = \{(x, 0, x) \mid x \in \mathbb{Q}^\infty\}$
- $\triangleright$  distributes over  $\cup$ ;  $x \triangleright \emptyset = \emptyset \triangleright x = \emptyset$  for all  $x$
- $x \cup x = x$  for all  $x$

$\mathcal{Q}$  forms a **continuous Kleene algebra**:

- for all  $Y \subseteq \mathcal{Q}$  and  $x, z \in \mathcal{Q}$ ,  $\bigcup Y$  exists and

$$x \triangleright (\bigcup Y) \triangleright z = \bigcup x \triangleright Y \triangleright z$$

# The Algebraic Approach to Energy Problems, II

Let  $n \geq 1$ .  $\mathcal{Q}^{n \times n}$ : the semiring of  $n \times n$  matrices over  $\mathcal{Q}$

- with matrix addition  $\cup$  and matrix multiplication  $\triangleright$

$\mathcal{Q}^{n \times n}$  is again a **continuous Kleene algebra**

- with  $M_{i,j}^* = \bigcup_{m \geq 0} \bigcup_{1 \leq k_1, \dots, k_m \leq n} M_{i,k_1} M_{k_1,k_2} \cdots M_{k_m,j}$

- and for  $M = \begin{bmatrix} a & b \\ c & d \end{bmatrix}$ , can compute

$$M^* = \begin{bmatrix} (a \cup bd^*c)^* & (a \cup bd^*c)^*bd^* \\ (d \cup ca^*b)^*ca^* & (d \cup ca^*b)^* \end{bmatrix}$$

(recursively; “generalized Floyd-Warshall”)

# The Algebraic Approach to Energy Problems, III

$A = (\alpha, M, \kappa)$  an interval timed automaton

- $\alpha \in \{\emptyset, \mathbb{1}\}^n$  initial vector,  $\kappa \in \{\emptyset, \mathbb{1}\}^n$  accepting vector,  
 $M \in \mathcal{Q}^{n \times n}$  transition matrix
- finite path  $s_i \xrightarrow{w_0} \dots \xrightarrow{w_n} s_j$  **accepting** if  $\alpha_i = \kappa_j = \mathbb{1}$
- finite behavior of  $A$ :

$$|A| = \bigvee \{ w_0 \cdots w_n \mid s_i \xrightarrow{w_0} \dots \xrightarrow{w_n} s_j \text{ accepting finite path} \}$$

**Theorem:**  $|A| = \alpha M^* \kappa$



# The Algebraic Approach to Energy Problems, IV

Let  $\mathcal{V} = \mathbb{Q}^\infty \times \mathbb{Q}_{\geq 0}^\infty$ : interval timed relations **without output**

- for **infinite** runs
- with operation  $\cup$  and unit  $\emptyset$ ,  $\mathcal{V}$  forms a **commutative idempotent monoid**

left  **$\mathcal{Q}$ -action**  $\mathcal{Q} \times \mathcal{V} \rightarrow \mathcal{V}$ :  $(R, U) \mapsto R \triangleright U$

- $(\mathcal{Q}, \mathcal{V})$  **semiring-semimodule pair**

**infinite product**  $\mathcal{Q}^\omega \rightarrow \mathcal{V}$ : for  $R_0, R_1, \dots \in \mathcal{Q}$ , define

$$\prod R_n = \{(x, t) \mid \exists x_0, x_1, \dots \in \mathbb{Q}^\infty, t_1, t_2, \dots \in \mathbb{Q}_{\geq 0}^\infty : \\ \sum_{n=0}^{\infty} t_n = t, \forall n \geq 0 : (x_n, t_{n+1}, x_{n+1}) \in R_n\}$$

- $(\mathcal{Q}, \mathcal{V})$  **continuous Kleene  $\omega$ -algebra**

# The Algebraic Approach to Energy Problems, V

$(\mathcal{Q}, \mathcal{V})$  continuous Kleene  $\omega$ -algebra:

- $\mathcal{Q}$  continuous Kleene algebra;  $\mathcal{V}$  complete lattice
- $\mathcal{Q}$ -action on  $\mathcal{V}$  preserves all suprema:  $x \triangleright (\bigcup Y) \triangleright u = \bigcup x \triangleright Y \triangleright u$
- and three axioms for the infinite product:
  - ▶ For all  $x_0, x_1, \dots \in \mathcal{Q}$ ,  $\prod x_n = x_0 \prod x_{n+1}$ .
  - ▶ Let  $x_0, x_1, \dots \in \mathcal{Q}$  and  $0 = n_0 \leq n_1 \leq \dots$  a sequence which increases without a bound. Let  $y_k = x_{n_k} \cdots x_{n_{k+1}-1}$  for all  $k \geq 0$ . Then  $\prod x_n = \prod y_k$ .
  - ▶ For all  $X_0, X_1, \dots \subseteq \mathcal{Q}$ ,  $\prod (\bigvee X_n) = \bigvee \{ \prod x_n \mid x_n \in X_n, n \geq 0 \}$ .

# The Algebraic Approach to Energy Problems, VI

$(Q^{n \times n}, \mathcal{V}^n)$  is again a **continuous Kleene  $\omega$ -algebra**

- with  $M_i^\omega = \bigcup_{1 \leq k_1, k_2, \dots \leq n} M_{i, k_1} M_{k_1, k_2} \cdots$

- and for  $M = \begin{bmatrix} a & b \\ c & d \end{bmatrix}$ , can compute

$$M^\omega = \begin{bmatrix} (a \cup bd^*c)^\omega \cup (a \cup bd^*c)^* bd^\omega \\ (d \cup ca^*b)^\omega \cup (d \cup ca^*b)^* ca^\omega \end{bmatrix}$$

(recursively)

# The Algebraic Approach to Energy Problems, VII

$A = (\alpha, M, \kappa)$  an interval timed automaton

- infinite path  $s_i \xrightarrow{w_0} \xrightarrow{w_1} \dots$  **accepting** if  $\alpha_i = \mathbb{1}$  and some  $s_j$  with  $\kappa_j = \mathbb{1}$  is visited infinitely often
- Büchi behavior of  $A$ :

$$\|A\| = \bigvee \left\{ \prod w_n \mid s_i \xrightarrow{w_0} \xrightarrow{w_1} \dots \text{ accepting infinite path} \right\}$$

- Re-order states so that  $\kappa = (\mathbb{1}, \dots, \mathbb{1}, \emptyset, \dots, \emptyset)$
- i.e. the first  $k \leq n$  states are accepting

Theorem: with  $M = \begin{bmatrix} a & b \\ c & d \end{bmatrix}$  for  $a \in S^{k \times k}$ ,  $\|A\| = \alpha \begin{bmatrix} (a + bd^*c)^\omega \\ d^*c(a + bd^*c)^\omega \end{bmatrix}$






# Conclusion

- Formal methods for solving energy problems
- Applications in scheduling
- Continuous Kleene  $\omega$ -algebras: obscure algebraic theory with real-world applications!

The work on the interval problem presented here is **only half complete**: we've found a nice algebraic setting; but we've said nothing about actual **computations**

- See our **FM 2018** paper for actual computations in a **restricted** setting (“segmented energy timed automata”)
- Rest is future work

# Selected Bibliography

-  P. Bouyer, U. Fahrenberg, K. G. Larsen, N. Markey, and J. Srba. Infinite runs in weighted timed automata with energy constraints. In *FORMATS*, vol. 5215 of *Lect. Notes Comput. Sci.* Springer, 2008.
-  P. Bouyer, U. Fahrenberg, K. G. Larsen, and N. Markey. Timed automata with observers under energy constraints. In *HSCC*, 2010.
-  Z. Ésik, U. Fahrenberg, A. Legay, and K. Quaas. An algebraic approach to energy problems I & II. *Acta Cybern.*, 23(1):203–228, 229–268, 2017.
-  D. Cachera, U. Fahrenberg, and A. Legay. An  $\omega$ -algebra for real-time energy problems. In *FSTTCS*, vol. 45 of *LIPICs*. Schloss Dagstuhl - Leibniz-Zentrum für Informatik, 2015.
-  G. Bacci, K. G. Larsen, N. Markey, P. Bouyer-Decitre, U. Fahrenberg, and P.-A. Reynier. Optimal and robust controller synthesis using energy timed automata with uncertainty. In *FM*, 2018.