

# Higher-Dimensional Automata: Operational Semantics

Uli Fahrenberg

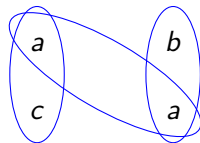
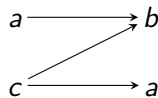
EPITA Research Laboratory (LRE), Paris, France

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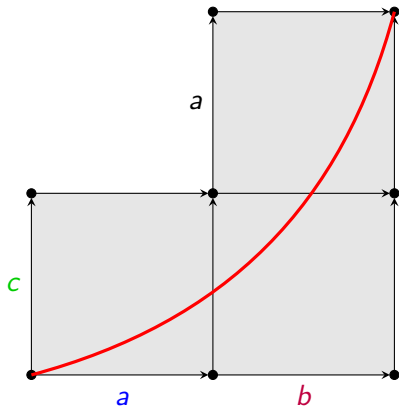
# Motivation: Understand Algebraic Structure of Interval Orders

- non-interleaving concurrency:
- languages consist of **pomsets** instead of words
- (**p**artially **o**rdered **m**ultisets)
- but not *all* pomsets: only **interval orders**
- (elements can be represented as real intervals)
- Janicki-Koutny 1993 (TCS): represent interval orders as sequences of overlapping **maximal antichains**
- use that to understand algebra of interval pomsets
- with an application to higher-dimensional automata

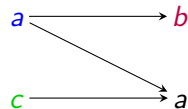
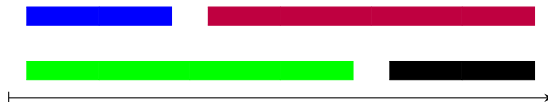


$$\begin{bmatrix} a \bullet \\ c \end{bmatrix} \quad \begin{bmatrix} \bullet a \\ a \bullet \end{bmatrix} \quad \begin{bmatrix} b \\ \bullet a \end{bmatrix}$$

# Example



## Lifetimes of events



- ① Motivation: Understand Algebraic Structure of Interval Orders
- ② Pomsets with Interfaces
- ③ Application: Higher-Dimensional Automata

## Nice People

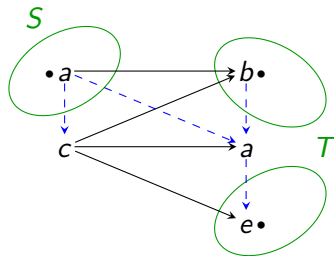
- Eric Goubault, Paris
- Christian Johansen, Oslo
- Georg Struth, Sheffield
- Krzysztof Ziemiański, Warsaw
- Amazigh Amrane, Hugo Bazille, Emily Clement, Jérémy Dubut, Marie Fortin, Loïc Hélouët, Jérémy Ledent, Philipp Schlehuber-Caissier, Safa Zouari, ...
- See also <https://ulifahrenberg.github.io/pomsetproject/>

# Pomsets with interfaces

## Definition

A **pomset with interfaces** (**ipomset**):  $(P, <, \dashrightarrow, S, T, \lambda)$ :

- finite set  $P$ ;
- two partial orders  $<$  (**precedence order**),  $\dashrightarrow$  (**event order**)
  - s.t.  $< \cup \dashrightarrow$  is a *total relation*;
- $S, T \subseteq P$  **source** and **target interfaces**
  - s.t.  $S$  is  $<$ -minimal and  $T$  is  $<$ -maximal.

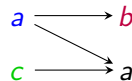
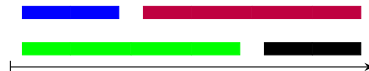
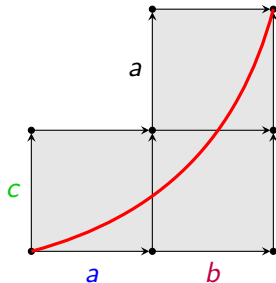


# Interval orders

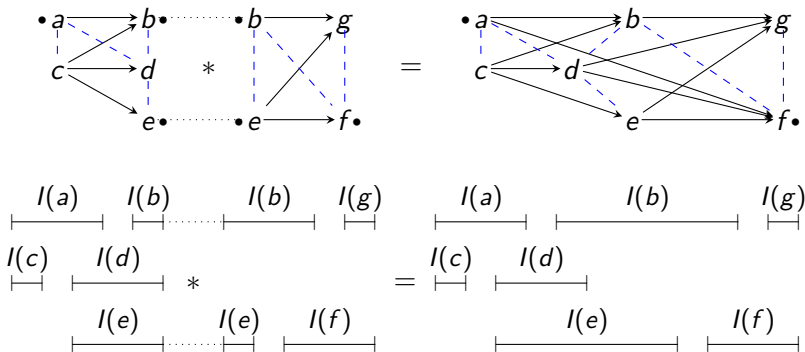
## Definition

An ipomset  $(P, <_P, \dashrightarrow, S, T, \lambda)$  is **interval** if  $(P, <_P)$  has an **interval representation**: functions  $b, e : P \rightarrow \mathbb{R}$  s.t.

- $\forall x \in P : b(x) \leq_{\mathbb{R}} e(x)$ ;
- $\forall x, y \in P : e(x) <_{\mathbb{R}} b(y) \iff x <_P y$



# Gluing composition



- **Gluing**  $P * Q$ :  $P$  before  $Q$ , except for interfaces (which are identified)
- (also have **parallel composition**  $P \parallel Q$ : disjoint union)



# Special ipomsets

## Definition

An ipomset  $(P, <, \dashrightarrow, S, T, \lambda)$  is

- **discrete** if  $<$  is empty (hence  $\dashrightarrow$  is total)
  - also written  ${}_SP_T$
- a **conclist** (“concurrency list”) if it is discrete and  $S = T = \emptyset$
- a **starter** if it is discrete and  $T = P$
- a **terminator** if it is discrete and  $S = P$
- an **identity** if it is both a starter and a terminator

$$\begin{bmatrix} \bullet a \\ \bullet b \bullet \\ a \bullet \end{bmatrix}$$

$$\begin{bmatrix} a \\ b \\ a \end{bmatrix}$$

$$\begin{bmatrix} \bullet a \bullet \\ \bullet b \bullet \\ a \bullet \end{bmatrix}$$

$$\begin{bmatrix} \bullet a \\ \bullet b \bullet \\ \bullet a \bullet \end{bmatrix}$$

$$\begin{bmatrix} \bullet a \bullet \\ \bullet b \bullet \\ \bullet a \bullet \end{bmatrix}$$

## Lemma (Janicki-Koutny 93; reformulated)

*An ipomset is interval iff it has a decomposition into discrete ipomsets.*

# Decompositions

## Lemma (Janicki-Koutny 93)

A poset  $(P, <)$  is an interval order iff the order defined on its maximal antichains defined by  $A \preceq B \iff \forall a \in A, b \in B : b \not< a$  is total.

## Corollary

An ipomset is interval iff it has a decomposition into discrete ipomsets.

## Lemma

Any discrete ipomset is a gluing of a starter and a terminator.  $\begin{bmatrix} \bullet a \\ \bullet b \\ \bullet a \end{bmatrix} = \begin{bmatrix} \bullet a \\ \bullet b \\ \bullet a \end{bmatrix} * \begin{bmatrix} \bullet a \\ \bullet b \\ \bullet a \end{bmatrix}$

## Corollary

Any interval ipomset has a decomposition as a sequence of starters and terminators.

$$\left[ \begin{array}{cc} a & b \\ c & a \end{array} \right] = \begin{bmatrix} a \bullet \\ c \end{bmatrix} * \begin{bmatrix} \bullet a \\ \bullet a \end{bmatrix} * \begin{bmatrix} b \\ \bullet a \end{bmatrix} = \begin{bmatrix} a \bullet \\ c \bullet \end{bmatrix} * \begin{bmatrix} \bullet a \\ \bullet c \end{bmatrix} * \begin{bmatrix} \bullet a \\ \bullet a \end{bmatrix} * \begin{bmatrix} \bullet a \\ \bullet a \end{bmatrix} * \begin{bmatrix} b \bullet \\ \bullet a \end{bmatrix} * \begin{bmatrix} \bullet b \\ \bullet a \end{bmatrix}$$

# Unique decompositions

Notation: **St**: set of starters  ${}_S U_U$   
**Te**: set of terminators  ${}_U U_T$   
**Id** = **St**  $\cap$  **Te**: set of identities  ${}_U U_U$   
 **$\Omega$**  = **St**  $\cup$  **Te**

## Definition

A word  $w = (S_1, U_1, T_1) \dots (S_n, U_n, T_n) \in \Omega^+$  is **coherent** if  $T_i = S_{i+1}$  for all  $i$ .

## Definition

A coherent word is **sparse** if proper starters and proper terminators are alternating.

- additionally, all  $w \in \text{Id} \subseteq \Omega^+$  are sparse
- so that's  $\text{Id} \cup (\text{St} \setminus \text{Id})((\text{Te} \setminus \text{Id})(\text{St} \setminus \text{Id}))^* \cup (\text{Te} \setminus \text{Id})((\text{St} \setminus \text{Id})(\text{Te} \setminus \text{Id}))^*$

## Lemma

Any interval ipomset  $P$  has a **unique** decomposition  $P = P_1 * \dots * P_n$  such that  $P_1 \dots P_n \in \Omega^+$  is **sparse**.

## Step sequences

Let  $\sim$  be the congruence on  $\Omega^+$  generated by the relation

$$sUU \cdot UT_T \sim sT_T \quad sSU \cdot UU_T \sim sS_T$$

- (compose subsequent starters and subsequent terminators)

### Definition

A **step sequence** is a  $\sim$ -equivalence class of coherent words in  $\Omega^+$ .

### Lemma

*Any step sequence has a **unique sparse** representant.*

### Theorem

*The category of interval ipomsets is isomorphic to the category of step sequences.*

# Categories?

## Definition (Category iiPoms)

**objects:** conclists  $U$  (discrete ipomsets without interfaces)

**morphisms** in  $\text{iiPoms}(U, V)$ : interval ipomsets  $P$  with sources  $U$  and targets  $V$

**composition:** gluing

**identities**  $\text{id}_U = {}_U U_U$

## Definition (Category Coh)

**objects:** conclists  $U$  (discrete ipomsets without interfaces)

**morphisms** in  $\text{Coh}(U, V)$ : step sequences  $[(S_1, U_1, T_1) \dots (S_n, U_n, T_n)]_{\sim}$  with  $S_1 = U$  and  $T_n = V$

**composition:** concatenation

**identities**  $\text{id}_U = {}_U U_U$

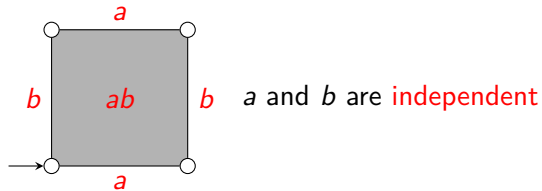
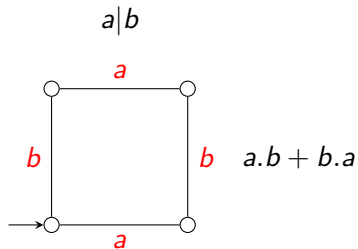
- Coh is category generated from (directed multi)graph  $\Omega$  under relations  $\sim$
- isomorphisms  $\Phi : \text{iiPoms} \leftrightarrow \text{Coh} : \Psi$  provided by
  - $\Phi(P) = [w]_{\sim}$ , where  $w$  is any step decomposition of  $P$ ;
  - $\Psi([P_1 \dots P_n]_{\sim}) = P_1 * \dots * P_n$  (needs lemma)

# Algebra

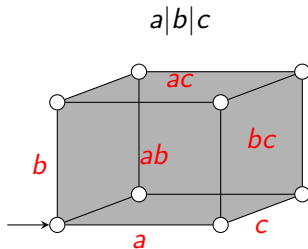
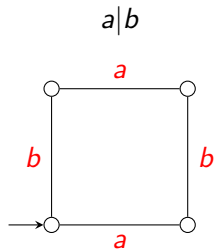
This is not cancellative:

$$a \bullet \begin{bmatrix} \bullet a \bullet \\ a \bullet \end{bmatrix} = a \bullet \begin{bmatrix} a \bullet \\ \bullet a \bullet \end{bmatrix} = \begin{bmatrix} a \bullet \\ a \bullet \end{bmatrix}$$

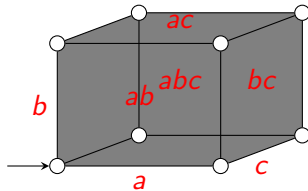
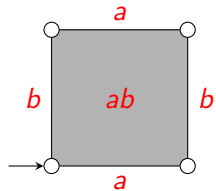
# Higher-dimensional automata



# Higher-dimensional automata



$$a|b + a|c + b|c$$



$\{a, b, c\}$  independent



# Higher-dimensional automata

A **conclist** is a finite, ordered and  $\Sigma$ -labelled set. (a list of events)

A **precubical set**  $X$  consists of:

- A set of cells  $X$  (cubes)
- Every cell  $x \in X$  has a conclist  $\text{ev}(x)$  (list of events active in  $x$ )
- We write  $X[U] = \{x \in X \mid \text{ev}(x) = U\}$  for a conclist  $U$  (cells of type  $U$ )
- For every conclist  $U$  and  $A \subseteq U$  there are:
  - upper face map  $\delta_A^1 : X[U] \rightarrow X[U \setminus A]$  (terminating events  $A$ )
  - lower face map  $\delta_A^0 : X[U] \rightarrow X[U \setminus A]$  (unstarting events  $A$ )
- **Precube identities:**  $\delta_A^\mu \delta_B^\nu = \delta_B^\nu \delta_A^\mu$  for  $A \cap B = \emptyset$  and  $\mu, \nu \in \{0, 1\}$

A **higher dimensional automaton (HDA)** is a precubical set  $X$  with **start cells**  $\perp \subseteq X$  and **accept cells**  $\top \subseteq X$  (not necessarily vertices)

# Higher-dimensional automata

HDA as a model for concurrency:

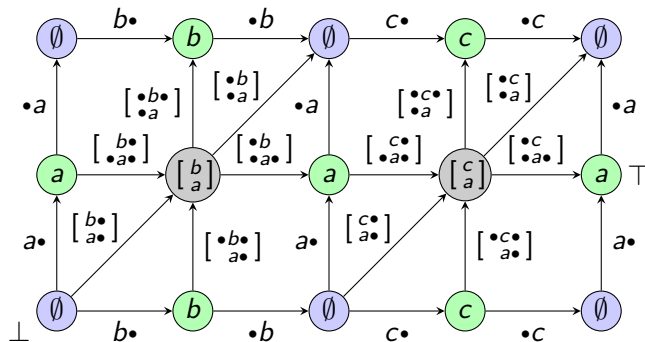
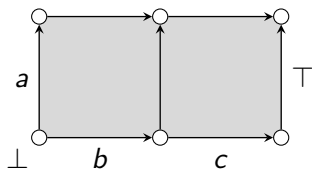
- vertices  $x \in X[\emptyset]$ : **states**
- edges  $a \in X[\{a\}]$ : labeled **transitions**
- $n$ -squares  $\alpha \in X[\{a_1, \dots, a_n\}]$  ( $n \geq 2$ ): **independency** relations / concurrently executing events

van Glabbeek (TCS 2006): Up to history-preserving bisimilarity, HDAs generalize “the main models of concurrency proposed in the literature”

Lots of recent activity on **languages** of HDAs:

- Kleene theorem
- Myhill-Nerode theorem
- Büchi-Elgot-Trakhtenbrot theorem
- Model checking
- ...

# ST-automata



- The **operational semantics** of an HDA  $(X, \perp, \top, \Sigma)$  is the “**ST-automaton**” with states  $X$ , alphabet  $\Omega$ , **state labeling**  $\text{ev} : X \rightarrow \square$ , and transitions

$$E = \{\delta_A^0(\ell) \xrightarrow{A \uparrow \text{ev}(\ell)} \ell \mid A \subseteq \text{ev}(\ell)\} \cup \{\ell \xrightarrow{\text{ev}(\ell) \downarrow A} \delta_A^1(\ell) \mid A \subseteq \text{ev}(\ell)\}.$$

- An automaton on the (infinite) **graph alphabet**  $\Omega$

## Un peu de recul

What we have:

- alphabet  $\Sigma$
- **St**: set of starters  ${}_S U_U$  on  $\Sigma$ ; **Te**: set of terminators  ${}_U U_T$  on  $\Sigma$ ;  $\Omega = \text{St} \cup \text{Te}$
- (equivalently: St and Te are **marked inclusions**  $\Sigma^* \hookrightarrow \Sigma^*$ )
- ST-automaton: automaton on (infinite) **graph alphabet**  $\Omega$
- language of ST-automaton: subset of (morphisms of) Coh
- Coh: category generated from  $\Omega$  under relation  $\sim$  (**not free**)

What this looks like:

- Automata on graph alphabets  $\hat{=}$  languages of morphisms in categories
  - Melliès-Zeilberger – König – Morvan – ...
  - Our cats are not freely generated, but almost:
    - The nerve functor  $N : \text{Cat} \rightarrow \text{SSet}$  has a left adjoint “Ho”
- $\implies$  “category freely generated by a simplicial set”
- Thank you, Vincent Moreau